The Pharmacologic Rationale Supporting the Potential Use of Vepoloxamer for the Treatment of Acute Chest Syndrome in Patients with Sickle Cell Disease

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Abstract
Sickle Cell Disease (SCD) is an autosomal recessive disorder caused by a single amino acid substitution in the Hemoglobin (Hb) β chain which causes it to polymerize in the deoxy state. Acute Chest Syndrome (ACS) with hypoxemic respiratory failure is a common cause of death in adult SCD subjects. Recent research has revealed how multiple disease processes including inappropriate cellular adhesion, hemolysis, dysfunctional coagulation, inflammation, and ischemia and tissue injury are involved in ACS pathophysiology. In this review article, we discuss how the activity of the highly purified non-ionic amphiphilic triblock copolymer vepoloxamer in the repair of damaged membranes and hindrance of inappropriate adhesive interactions and activation events potentially has utility in ACS, by virtue of its capacity for affecting multiple aspects of the pathophysiology.

Keywords: Acute chest syndrome; Poloxamer; Sickle cell disease; Vepoloxamer

Introduction
Sickle Cell Disease (SCD) is an autosomal recessive disorder caused by a single amino acid substitution in the Hemoglobin (Hb) β chain which causes it to polymerize in the deoxy state. The Hb polymerization results in Red Blood Cells (RBC) which are rheologically impaired and become entrapped in the microvasculature resulting in tissue ischemia [1]. Vaso-occlusive Crisis (VOC) is the hallmark of the disease and consists of severe episodic pain in the back, chest, abdomen, and joints. A frequent complication of VOC is

The origin of ACS has been associated with a wide variety of pathological processes including infection, fat embolus, and lung tissue infarction [5]. Regardless of the origin, pulmonary vaso-occlusion is likely the common pathophysiological pathway to its clinical manifestation [6]. Underlying the vaso-occlusion is the polymerization of Hemoglobin S (HbS) under hypoxic conditions, and broad endothelial dysfunction with elevated expression of multiple cell adhesion molecules contributing to vascular leukocyte recruitment, inflammation, and the activation of coagulation with thrombosis [7]. Hemolysis and the resulting increase in cell-free plasma Hb further exacerbates the endothelial dysfunction as Nitric Oxide (NO) bioavailability is reduced from the NO scavenging by cell-free heme [8], a compound which also activates innate immunity following binding of endothelial Toll-like receptor 4 [9].

With the notable exception of the polymerization of HbS, vepoloxamer has been shown to affect many of the pathological processes active in ACS, either prophylactically by interfering with activation events which drive pathogenesis, or through palliative actions against processes associated with progressive clinical pathology. Examples include: inhibiting RBC aggregation thus lowering whole blood viscosity, stabilizing of RBC membranes thereby reducing hemolysis and release of free heme, and preventing adhesive interactions between blood cells and activated endothelium thereby inhibiting occlusion, inflammation, thrombosis, and ischemic tissue damage. This publication summarizes research studies investigating the impact of vepoloxamer on those processes that are relevant to ACS pathophysiology. In doing so, we provide information on the potential mechanisms through which vepoloxamer may have utility in the treatment of ACS, a clinical conditions for which there are otherwise few therapeutic options.

Vepoloxamer
Vepoloxamer is a highly purified, non-ionic amphiphilic triblock copolymer of Polyoxypropylene (POP) flanked on both sides by Polyoxyethylene (POE) [10] (see figure 1). Its basic structure confers surface active properties that enable the modulation of a cell membrane's biophysical properties including its stability, hydration, repair, flexibility, and adhesive properties, all of which serve crucial roles in biological responses [11]. Vepoloxamer is currently under study in a Phase III trial to evaluate whether it can reduce the duration of VOC (i.e., the EPIC study: Evaluation of Purified Poloxamer 188 In Crisis. ClinicalTrials.gov Identifier: NCT01737814). The study also will evaluate whether vepoloxamer can reduce the frequency of re-hospitalizations following crisis, and the incidence of ACS during VOC.

Theoretical mechanism of action
Like all surface active agents, vepoloxamer (and its unpurified parent compound poloxamer 188) adheres to hydrophobic surfaces in aqueous media causing them to become hydrated (see figure 2). It is particularly effective in blocking hydrophobic adhesive interactions and dispersing hydrophobic aggregates. However unlike many surfactants, it does not penetrate and cause membrane

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Sickle cell blood rheology

The relevance of the altered rheologic properties of blood in subjects with SCD has been appreciated for over 70 years [18]. Many investigators have documented how RBC obtained from SCD patients exhibit decreased deformability, elevated viscosity, and tend to form strongly complexed aggregates [19-23].

Effect of vepoloxamer on sickle RBC deformability: The poor deformability of sickle RBC (sRBC) cells, stemming from the intracellular polymerization of HbS, underlies the rheological abnormality observed in SCD [24,25]. All available data suggest vepoloxamer has no effect on HbS polymerization. However, experimental data suggest it has a substantial effect on sRBC deformability. Using video microscopy, Hunter [26] demonstrated that sRBC appear markedly more deformable when treated with vepoloxamer as compared to the same sample treated with saline (Figure 3).

Vepoloxamer’s mechanism of action

In an aqueous environment vepoloxamer exists as very small micelles including single molecules wrapped upon themselves (top). When cell membrane damage exposes hydrophobic domains, it instantly adheres, restoring a non-adhesive surface thereby protecting the cell, inhibiting adhesion and facilitating normal microvascular blood flow (bottom). It releases when the membrane is repaired. Available evidence suggests that this simple mechanism underlies all of vepoloxamer’s biologic activities.

Studies on the filterability of sRBC in the presence of saline or vepoloxamer may further support its effect on deformability. Using a hemorheometer filtration system, the filterability of sRBCs through 12µM long, 5µM internal diameter pores improved 20-30% by in the presence of vepoloxamer at concentrations between 0.25mg/ml to 5.0mg/ml compared to control (Figure 4). Collectively, these results suggest that although vepoloxamer does not alter the underlying polymerization of HbS, it appears to improve sRBC deformability and may thus improve blood flow during ACS possibly by improving or restoring membrane deformability.

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**Effect of vepoloxamer on whole blood viscosity:** In general, blood viscosity is inversely correlated with blood flow [28]. In SCD, blood viscosity has been reported to be elevated compared with normal controls [19]. It is widely accepted that the increased intercellular viscosity of sRBC accounts for any observed increase in whole blood viscosity. However, for the majority of sickle cell patients, whole blood viscosity is near normal due to characteristically low hematocrit which compensates for the increased stiffness of the RBCs [21]. Nevertheless, during vaso-occlusive crisis, increased plasma fibrinogen (as part of an acute phase reactant response) increases whole blood viscosity and contributes to reduced blood flow [29]. Consistent with this, elevated viscosity was associated with increased VOC in a subset of SCD children with higher levels of steady-state blood viscosity [30]. The role of viscosity in ACS is not well known. However, during ACS, there is an intense acute-phase response, as evidenced by markedly increased secretory Phospholipase A2 (sPLA2) and an elevated erythrocyte sedimentation rate [31,32]. Thus, increased RBC aggregation (and increased blood viscosity) are likely to be contributing factors during ACS.

Vepoloxamer has been shown to exert concentration-dependent effects on whole blood viscosity both in healthy and SCD patients. When added to blood obtained from steady-state SCD patients, vepoloxamer at concentrations between 0.25mg/ml-2.0mg/ml showed little or no effect on whole blood viscosity at higher shear rates, while at lower shear conditions, it caused a 20-23% reduction in viscosity (Figure 5). These observations suggest vepoloxamer may help normalize elevated viscosity as may be occurring during ACS.

**Effect on sickle RBC aggregation/disaggregation**

RBC aggregation has been suggested to be a major determinant of blood flow, especially in the microcirculation where RBC are able to pass through narrow capillaries as single cells but not as aggregates [33]. In SCD, the aggregation abnormality appears to be more related to the aggregate strength rather than the extent of aggregation [22], and it has been proposed the increased shear forces needed to disperse sRBC aggregates may inhibit flow, especially in capillaries [22]. Consistent with this notion, Lamarre and colleagues [30] reported a higher steady-state RBC disaggregation threshold was associated with a previous history of ACS, both in children with hemoglobin SS disease, and in boys with hemoglobin SS.

**Ex vivo** studies using blood obtained from patients with SCD have demonstrated that vepoloxamer exerts a concentration-dependent effect on the strength of dextran-induced sRBC aggregation. At clinically relevant concentrations (0.5mg/ml-1.0mg/ml), vepoloxamer reduces both the extent of sRBC aggregation and the strength of RBC aggregates (measured by the shear force required to break up aggregates) by approximately 30% relative to phosphate buffer saline control (Figure 6). Other methods of RBC aggregation (erythrocyte sedimentation rate and microscopic aggregation index) yielded similar dose-dependent effects for vepoloxamer (data not shown). The results demonstrate that vepoloxamer causes robust, concentration-dependent decreases of both the extent and strength of RBC aggregation suggesting that vepoloxamer may reduce RBC aggregation during ACS.

**Effect on cell adhesion**

Since the original report showing the adhesion of sRBC to endothelium [34], various groups have described the critical role of blood cell adhesion to endothelium in the pathobiology of vaso-occlusion. It has become increasingly clear that vaso-occlusion is not only induced by adhesion of sRBC, but that reticulocytes, platelets, and leukocytes also play causal roles. Numerous cell surface receptors and ligands mediating these processes have been described [35]. It is likely that similar adhesion mechanisms are key contributors in ACS. A study by Stuart and Setty [36] suggests that the VLA4-VCAM-1 mediated adhesion of sRBC to endothelium may be particularly...
relevant to ACS. In that study, subjects with active ACS exhibited marked up-regulation of VCAM-1, with values significantly higher than age-matched controls, or patients with VOC or SCD at steady state.

In studies to date, vepoloxamer has been shown to exhibit potent activity in inhibiting VCAM-mediated adhesion. Hsu and colleagues [37] compared the effect of vepoloxamer to the blocking ability of antibodies specific to VCAM, CD-29 and CD49 on the LPS-induced adhesion of RBC and leukocytes in the cremaster microcirculation of transgenic sickle mice. In control animals, LPS resulted in a 27% reduction in the number of perfused capillaries (which was inversely proportional to the number of adherent RBC and leukocytes). Pre-treatment with anti-VCAM, completely inhibited the adverse effects of LPS. Treatment with vepoloxamer yielded nearly identical inhibitory effects. The effects of vepoloxamer on VCAM-mediated adhesion have also been studied using freshly collected blood from patients with SCD using a microfluidics assay. In those studies, a potent, concentrated related reduction in VCAM-mediated adhesion was observed. At clinically relevant concentrations (0.1-1.0mg/ml) vepoloxamer induced a greater than a 60% reduction in adhesion compared to negative control (Figure 7).

These studies suggest that vepoloxamer is comparable in efficacy to highly specific adhesion blocking agents and exhibits highly potent anti-VCAM activity which may be of particular relevance to ACS.

Effect on RBC fragility

The pathologic consequences of hemolysis in SCD patients are widely reported and likely contribute to the overall hemodynamic dysfunction and mortality [39]. However, the role of hemolysis in the development of ACS remains to be defined. Kato and colleagues [40] have suggested that ACS may not represent a “hemolytic phenotype” and that the condition may be more driven by other pathologies, notably viscosity. Nevertheless, there is a substantial body of evidence suggesting a more central role for hemolysis in ACS. Vichinsky and colleagues determined that a loss in Hb concentration precedes ACS in humans [5]. More recently, Ghosh et al., demonstrated that administration of intravascular hemin (a hemolysis byproduct) induced an ACS-like syndrome in sickle mice [9]. They concluded that hemolysis and a consequent vascular inflammatory response mediated through Toll-like receptor activation plays a central role in triggering ACS.

Vepoloxamer and its unpurified parent compound (poloxamer 188) are well documented for their membrane sealant and stabilizing properties in a wide variety of cells to a wide variety of mechanical and chemical challenges [12,15,26]. Baek demonstrated enhanced survival of cultured RBC in the presence of poloxamer 188 suggesting it decreased the hydrodynamic stress induced membrane injury experienced under culture conditions [41]. Recently, the effect of vepoloxamer on shear-induced hemolysis of sRBC was investigated by Hines and colleagues [38]. When subject to a physiologically relevant shear rate, vepoloxamer at therapeutically-relevant concentrations, showed a modest but statistically significant reduction in hemolysis (Figure 8).

Consistent with these observations, reduced LDH was observed in patients treated with unpurified poloxamer 188 compared to placebo in a randomized phase 2 study in SCD patients in VOC [42]. Collectively, these studies provide compelling evidence that vepoloxamer increases the resistance of healthy and sRBC to hemolysis. However, additional studies are needed to investigate the potential of vepoloxamer for reducing hemolysis during ACS as well as the potential clinical benefits that may result from this activity.

Effect on dysfunctional coagulation/thrombosis

Sickle cell disease is often described as a hypercoagulable condition. A large body of evidence supports that blood from sickle cell patients exhibits increased tissue factor procoagulant activity, thrombin generation and increased platelet activation even at steady state [43]. The factors underlying the hypercoagulable state remain a matter of investigation, however, hemolysis and circulating cell derived microparticles are likely to be key elements [44].

At pharmacologically active concentrations, vepoloxamer does not exhibit anticoagulant activity and does not alter platelet function when studied in standard coagulation and platelet aggregation assays [26]. However, it does inhibit shear-induced whole blood platelet
aggregation [45] and inhibits the procoagulant actions of circulating micro particles in sickle cell anemia patients [46]. These latter observations are consistent with reports describing potent antithrombotic activity following treatment [47] and collectively suggest that vepoloxamer may moderate the dysfunctional coagulation and thrombosis occurring in ACS.

**Effect of Vepoloxamer in Experimental ACS**

Vepoloxamer was studied in a human transgenic murine model of ACS (i.e., HbS Tg). Mice expressing human HbS at levels of either 60% or 100% were treated with a single intravenous 400mg/kg dose of vepoloxamer (n=20) or a similar volume of saline (control) (n=20) and exposed to hypoxia (5% O₂). Following hypoxia, the number of sickled RBCs in the peripheral blood increased with time, and then sharply decreased before the onset of ACS symptoms. Fourteen of twenty control (60 and 100% HbS) Tg mice died within 60 minutes. In marked contrast, fifteen of twenty vepoloxamer-treated (60 and 100% HbS) Tg mice survived for 60 minutes (i.e., the total duration of the experiment).

Lung pathology was evaluated in 13 control and 13 vepoloxamer-treated HbS Tg mice, and the findings are summarized in table 1. Thrombosis was detected in all 13 control mice and was considered of a severe nature in 10 of 13 animals, and non-severe in the other three. Thrombosis was only observed in 2 of 13 vepoloxamer-treated mice and both instances it was considered to be non-severe. Nine of 13 control mice showed both RBC infiltration and partial damage of the intervening septa wall, while none of the vepoloxamer-treated mice had such changes. Leakage of RBCs into alveolar space was found in 8 of 13 control mice, while it was not present in any of the vepoloxamer-treated mice. Eleven control mice showed congestion in the lungs, while none was detected in any of the vepoloxamer-treated animals. All of the vepoloxamer-induced changes were highly significant (see Table 1).

<table>
<thead>
<tr>
<th>Pathology</th>
<th>Control</th>
<th>Vepoloxamer treated</th>
<th>Significance*</th>
</tr>
</thead>
<tbody>
<tr>
<td>Thrombosis</td>
<td>13/13</td>
<td>13-Feb</td>
<td>&lt; 0.0001</td>
</tr>
<tr>
<td>RBC infiltration</td>
<td>13-Sep</td>
<td>0/13</td>
<td>0.0002</td>
</tr>
<tr>
<td>Intervening septal wall damaged</td>
<td>13-Sep</td>
<td>0/13</td>
<td>0.0002</td>
</tr>
<tr>
<td>RBC in alveolar space</td>
<td>13-Aug</td>
<td>0/13</td>
<td>0.0008</td>
</tr>
<tr>
<td>Congestion</td>
<td>13-Nov</td>
<td>0/13</td>
<td>&lt; 0.0001</td>
</tr>
</tbody>
</table>

Table 1: Effect of Vepoloxamer On Lung Pathology in Experimental ACS.

*two-tailed p-value using Fisher’s exact test

The results demonstrate that vepoloxamer decreases mortality and prevents the development of ACS-like pulmonary pathology in a relevant model of acute sickling induced ACS.

**Clinical Experience with Vepoloxamer in ACS**

The safety of vepoloxamer was studied as part of an open label dose escalation trial in 43 SCD patients diagnosed with ACS [48]. The diagnosis of ACS required that a subject had confirmed SCD, a sudden onset of acute chest pain or respiratory distress lasting at least 4 hours, and a new clinically significant infiltrate on chest X-ray. Eligible subjects received total doses of vepoloxamer between 1,120-2,960mg administered during a 24 hour window by continuous intravenous infusion. Five dose levels were studied with a minimum of six patients at each dose level. Safety was assessed by clinical outcomes and clinical laboratory measures including special renal function tests.

Overall vepoloxamer was well tolerated at all dose levels. The study paid particular attention to renal function since renal dysfunction had been observed in earlier studies investigating unpurified poloxamer in myocardial infarction patients. In marked contrast to those earlier studies, no clinically significant dose-related increase in serum creatinine were observed. In addition, there were no dose-related or clinically relevant changes in specialized biomarkers of renal function (i.e., glomerular integrity, permeability and filtration; proximal tubular injury; distal tubular transport, and protein absorption). As had been reported in previous studies of vepoloxamer in sickle cell patients, [49,50] liver function tests, especially bilirubin and serum transaminases, were mild to moderately elevated during vepoloxamer infusion, but, resolved to baseline during follow-up (usually by day 5-10 but no later than day 28-35 post-infusion). The authors concluded that the administration of vepoloxamer to patients with ACS was safe.

Although the study was not designed to evaluate efficacy, trends in biomarkers and clinical outcomes suggestive of benefit were observed. The degree of sPLA2 is reported to correlate with measures of clinical severity in ACS and the rise in sPLA2 concentrations coincides with the onset of ACS [51]. For all dosages, all patients with elevated sPLA2 showed a significant decrease by the end of vepoloxamer infusion which also continued to decrease during the remainder of the study. Both adult and pediatric patients showed similar trends in sPLA2 concentrations [52]. In addition, there were trends, particularly at higher doses and in children, towards a shorter duration of an ACS episode and the length of ACS hospitalization especially compared to historical controls.

**Discussion and Conclusion**

Acute Chest Syndrome is one of the most frequent complications in SCD, and together with pulmonary hypertension, is the disease's most common cause of death. Despite its clinical impact, treatment alternatives for ACS are limited, and there is an unmet need for new prophylactic or therapeutic interventions. In this document, we provide justification for use of vepoloxamer in ACS. Our most compelling argument is based on two underlying and complimentary considerations: First, because multiple pathophysiological processes underlie ACS, it may be necessary to address several processes for optimal outcomes. Vepoloxamer may uniquely achieve this. Second, vepoloxamer appears safe and well tolerated in ACS patients at exposures equaling or exceeding those that result in all the desired pharmacological activity. These and other considerations are discussed more completely below.

In this document we present data showing that vepoloxamer has the capacity to improve many of the biological processing which appear to account for ACS pathophysiology. We presented data showing it improves the deformability and filterability of sRBC thus improving their capacity to move through narrow channels akin to what these cells encounter in vivo within the SCD microvasculature. We also discussed vepoloxamer’s effect in reducing whole blood viscosity under low shear rates. Other published reports confirm this activity [26,53]. The benefits of this rheological activity on blood flow have been demonstrated in vivo during clinical VOC. Administration of vepoloxamer to SCD patients during VOC, significantly improved RBC velocity by 2 hours following start of infusion in all vepoloxamer treated subjects, but in none of the controls. Additional improvement were also observed 7 hours post-infusion, such that the RBC velocity had returned to values similar to those observed at steady state.
in all vepoloxamer treated subjects but in none of the controls [54]. As mentioned previously, pulmonary vaso-occlusion and reduced blood flow is recognized as the common pathophysiological event initiating the clinical manifestation of ACS. Whether this is driven primarily through enhanced cellular adhesion [55] or by elevated RBC aggregation is a matter of contention [56]. Data presented in this publication that vepoloxamer reduces both of these underlying pathologies.

We also discussed the role of hemolysis in ACS and presented results showing that vepoloxamer stabilizes RBC membranes from hemolysis. This data is consistent with an extensive body of literature showing that vepoloxamer and its unpurified parent poloxamer 188 protects the membranes of a wide variety of cells from mechanical and chemical injury [12,26]. This suggests that vepoloxamer may not only protect injured RBC from hemolysis, but also protect endothelium and other tissues from ischemic and reperfusion injury as suggested by Hunter [26].

The ability to protect cells from mechanical trauma may also contribute to vepoloxamer’s reported anti-thrombotic activity. By stabilizing RBC from shear-induced membrane damage, vepoloxamer may prevent ADP leakage, and thus ADP induced platelet aggregation/thrombosis. This mechanism may also account for the reported activity in antagonizing the procoagulant actions of microparticles.

Some of the most compelling evidence as to the potential utility of vepoloxamer in treating ACS comes from the studies conducted in human HBS transgenic animals. Of particular interest were the pathology results demonstrating the marked reduction in cellular infiltration and thrombosis with vepoloxamer treatment. These effects are consistent with vepoloxamer’s antithrombotic effects in other experimental models. The role of thrombosis in ACS remains a subject of speculation. However, thrombosis of pulmonary vessels is a common finding on autopsy of patients with SCD and pulmonary artery thrombosis was observed at a prevalence of 17% in a recent analysis of ACS patients [57]. These findings suggest that antithrombotic therapy with an agent like vepoloxamer may be an important therapeutic goal in ACS.

Our document concludes with a discussion of a phase I safety study conducted with vepoloxamer in sickle cell patients experiencing ACS [48]. The study investigated levels of exposure within the range of concentrations demonstrating the pharmacological activities discussed in this publication. At all levels of drug exposure, vepoloxamer was well tolerated and appeared safe. While the study was not designed to evaluate efficacy, trends toward reduced hospitalization and reductions in sPLA2 biomarkers were observed. The safety observed in this study is further supported by an extensive battery of non-clinical-toxicology studies on vepoloxamer. Included were studies where vepoloxamer was administered to rats and dogs for 28 consecutive days as a continuous intravenous infusion. In those studies the No Observable Adverse Effect Level (NOAEL) for total exposure was 20,160mg/kg in dogs and 10,080mg/kg in rats. Considering that the anticipated total acute exposure of vepoloxamer for treatment of ACS is in the range of 1,500-2,500mg/kg, we predict a several fold safety factor with regard to exposure.

The results from the Phase III EPIC study with vepoloxamer are anticipated to provide further justification for evaluating its use in treating ACS.

Conclusion

In ACS (and other sickle related vas-oocclusive events) there are multiple pathological processes including dysfunctional coagulation, inflammation, inappropriate cellular adhesion, hemolysis and others that contribute to ischemia and tissue injury. A common denominator to these seemingly disparate pathways may be the exposure of normally hidden hydrophobic phospholipids in damaged cell membranes serving as activation surfaces for the aforementioned pathological cascades. An agent such as vepoloxamer that will bind to such surfaces instantly sealing the damaged membrane and restoring normal surface hydration characteristics may thus inhibit multiple pathological pathways via a single mechanistic activity. The lack of such damaged surfaces in healthy tissues may also account for vepoloxamers safety profile and the observations that pharmacologically active concentrations of vepoloxamer appear safe and well tolerated in SCD patients with ACS.

This new type of pharmacological strategy holds significant promise for the treatment of ACS. Additional clinical studies to demonstrate its safety and efficacy are warranted.

References


